



01/28/00

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1960.165

First Named Inventor or Application Identifier

JAMES C. ALEXANDER, ET AL.

Express Mail Label No.

J-490 U.S. PTO
09/493226
01/28/00**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)2. ☒ Specification Total Pages 3. ☒ Drawing(s) (35 USC 113) Total Sheets 4. ☐ Oath or Declaration Total Pages a. ☐ Newly executed (original or copy)b. ☐ Unexecuted for information purposesc. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).5. ☐ Incorporation By Reference (useable if Box 4c is checked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 4c, is considered as being
part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
Status still proper and desired15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____/____**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label05514
(Insert Customer No. or Attach bar code label here)or ☐ Correspondence address below

NAME

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City

State

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	46	-20 = 26	X \$ 18.00 =	\$468.00
	INDEPENDENT CLAIMS (37 cfr 1.16(b))	2	-3 = 0	X \$ 78.00 =	\$000.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$260.00 =	\$000.00
				BASIC FEE (37 CFR 1.16(a))	\$690.00
	Total of above Calculations =				\$1,158.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$1,158.00

19. Small entity status

- a. ☐ A Small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

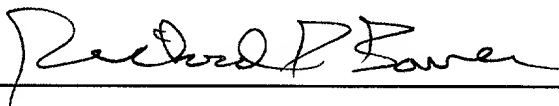
20. ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit any overpayments or charge any deficiencies to Deposit Account No. 06-1205:

- a. ☐ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	RICHARD P. BAUER, REG. NO. 31,588
SIGNATURE	
DATE	January 28, 2000

FINAL VERSION

Applicants/Inventors: James C. Alexander
Michael A. Plaisier
Michael P. Sarchese

Title: Radiation Source Module

Assignee: Trojan Technologies Inc.

JURISDICTION: United States

DATE: January 28, 2000

BACKGROUND OF THE INVENTION

FIELD OF THE INVENTION

In one of its aspects, the present invention relates to a radiation source module.
In another of its aspects, the present invention relates to a fluid treatment system
5 comprising the present radiation source module.

DESCRIPTION OF THE PRIOR ART

Fluid treatment systems are known generally in the art.

For example, United States patents 4,482,809, 4,872,980 and 5,006,244 (all in the
10 name of Maarschalkerweerd and all assigned to the assignee of the present invention and
hereinafter referred to as the Maarschalkerweerd #1 Patents) all describe gravity fed fluid
treatment systems which employ ultraviolet (UV) radiation.

Such systems include an array of UV lamp modules (e.g., frames) which include
several UV lamps each of which are mounted within sleeves which extend between and
15 are supported by a pair of legs which are attached to a cross-piece. The so-supported
sleeves (containing the UV lamps) are immersed into a fluid to be treated which is then
irradiated as required. The amount of radiation to which the fluid is exposed is
determined by the proximity of the fluid to the lamps, the output wattage of the lamps
and the flow rate of the fluid past the lamps. Typically, one or more UV sensors may be
20 employed to monitor the UV output of the lamps and the fluid level is typically
controlled, to some extent, downstream of the treatment device by means of level gates
or the like.

United States patents 5,418,370, 5,539,210 and 5,590,390 (all in the name of
Maarschalkerweerd and all assigned to the assignee of the present invention and
25 hereinafter referred to as the Maarschalkerweerd #2 Patents) all describe an improved
radiation source module for use in gravity fed fluid treatment systems which employ UV
radiation. Generally, the improved radiation source module comprises a radiation source
assembly (typically comprising a radiation source and a protective (e.g., quartz) sleeve)
sealingly cantilevered from a support member. The support member may further
30 comprise appropriate means to secure the radiation source module in the gravity fed fluid
treatment system.

The Maarschalkerweerd #1 Patents and the Maarschalkerweerd #2 Patents represent significant advances in the art. Notwithstanding this, there is still room for improvement. Specifically, in implementation of the fluid treatment systems taught in the Maarschalkerweerd #1 Patents and the Maarschalkerweerd #2 Patents, it is preferred to stagger columns of the radiation sources with respect to one another to maintain a substantially uniform distance between all radiation sources in the array of radiation sources. This serves to maintain a substantially uniform fluid (e.g., water) layer thickness during fluid treatment.

Since the radiation source modules taught in the Maarschalkerweerd #1 Patents and the Maarschalkerweerd #2 Patents are characterized by a single, aligned row of radiation sources per module, there are two design possibilities.

First, the modules may be designed to be identical to one another and vertically staggered with respect to one another to stagger adjacent columns of radiation sources. This necessitates a more complicated design in the fluid treatment system to vertically stagger adjacent modules with respect to one another.

Second, the fluid treatment system design may be simplified to vertically align adjacent radiation source modules in an identical manner. This necessitates having two different module designs for adjacent radiation source modules to present the staggered adjacent columns of radiation sources.

Still further it would be desirable to have an improved radiation source module which, for a given number of radiation sources in an array in a fluid treatment system, would allow for a reduction of the number support members needed compared with the number needed following the teaches of the Maarschalkerweerd #1 Patents and the Maarschalkerweerd #2 Patents.

SUMMARY OF THE INVENTION

It is an object of the invention to provide a novel radiation source module which obviates or mitigates at least one of the above-mentioned disadvantages of the prior art.

Accordingly, in one of its aspects, the present invention provides a radiation source module for use in a fluid treatment system, the module comprising:
a substantially elongate first support member having a longitudinal first axis; and

a first pair of radiation source assemblies extending from the first support member, each radiation source assembly comprising a radiation source;

wherein the first pair of radiation source assemblies is oriented such that a second axis extending through a center point of each radiation source assembly is disposed at an angle with respect to the first axis.

In another of its aspects, the present invention provides radiation source module for use in a fluid treatment system, the module comprising:

a substantially elongate first support member having a longitudinal first axis; and
a first column of radiation source assemblies extending from the first support member, and a second column of radiation source assemblies extending from the first support member, each radiation source assembly comprising a radiation source;

the first column of radiation source assemblies and the second column of radiation source assemblies disposed adjacent one another.

BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments of the present invention will be described with reference to the accompanying drawings, in which:

Figure 1 illustrates a side elevation, in partial cross-section, of a prior art fluid treatment system as taught in the Maarschalkerweerd #2 Patents;

Figure 2 illustrates a side elevation of a commercial embodiment of a prior art fluid treatment system similar to the one taught in the Maarschalkerweerd #2 Patents;

Figure 3 illustrates a partial front elevation of the fluid treatment system illustrated in Figure 2;

Figure 4 illustrates a more detailed side elevation of a radiation source module of the type illustrated in Figure 1-3; and

Figures 5-11 illustrate various embodiments of the present radiation source module and a fluid treatment system incorporating same (Figure 11).

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

For clarity, a brief description of a prior art fluid treatment device will be presented before discussing the present invention. Figure 1 illustrates a prior art treatment

system as described in United States patent 5,590,390 (one of the Maarschalkerweerd #2 Patents).

Thus, a system 100 includes a main body 104 which is installed across an open fluid canal 108 such that the all of the fluid flow through canal 108 is directed through a treatment zone 112. Main body 104 may be precast concrete, stainless steel or any other material suitable for use with the fluid to be treated and which is resistant to the type of radiation employed.

The lower surface of main body 104 includes a central section 116 which extends downward with leading and trailing inclined sections 120 and 124, respectively. A corresponding upraised central section 132 is located on a base 128 of canal 108 beneath central section 116 and includes leading and trailing inclined sections 136 and 140, respectively. Central section 132 may be part of main body 104 or may be part of base 128 (as illustrated).

Figures 2 and 3 illustrate a more detailed commercial embodiment of a system similar to the one illustrated in Figure 1. Sections 116 and 132 form a narrowed irradiation zone 144, while sections 120 and 136 form a tapered inlet transition region and sections 124 and 140 form a tapered outlet transition region. A plurality of radiation source modules 20 are mounted on the main body, and each module includes a leg with UV lamp assemblies 60 which extend into the irradiation zone 144.

As shown in Figure 3, a plurality of lamp modules 20 are arranged across the width of the irradiation zone 144 with a spacing between lamp modules 20 which is designed to ensure that the fluid to be treated is irradiated with a predetermined minimum dosage of UV radiation. The lamps are preferably spaced in a triangular configuration as shown to reduce the maximum distance from the lamp to any particle of fluid in the irradiation zone. However rectangular or other lamp configurations are possible.

Figure 4 shows a lamp module 20 of the device in FIG 2. The module has an enclosure 30, shown with cover removed, which contains the electronic ballast 31 and other components used to provide the power and control functions to the lamps and the lamp sleeve wipers. The module 20 is attached by a hinge pin 6 to a hinge bracket 5 which is mounted on the frame assembly 10. The ballasts 31 are clamped to mounting bases 32 which are cooled by fluid flowing through internal passages. The ballast 31 is

constructed so that the main heat generating components are mounted on a conductive plate which is in proximity to the cooled mounting bases 32. However a significant proportion of the heat is generated by other components which are cooled by air forced through the ballast case by a fan (not shown). Heat is then removed from the air in the ballast enclosure by fins 35 on the coolant pipes 36. Additional heat is removed from the air by a fan powered heat exchanger 37. The cooling components are attached by a series of hoses 38 and clamps 39.

Each module 20 has a leg 50 with a plurality of rigid standoff structures 51 which extend from the leg and support the UV lamp assemblies 60. Each lamp assembly has a lamp mounted inside an outer sleeve 61. A wiper assembly 62 is mounted on each pair of sleeves 61 and a hydraulic cylinder 63 has a rod 64 which extends and retracts to move the wiper assembly 62 along the sleeves 61 and remove any deposits which may diminish the intensity of radiation emitting from the lamp.

While this system has been successful, it suffers from several disadvantages caused by the arrangement of the lamp modules 20 which limits the width of each module. The limited width results in a configuration of mounting the ballasts 31 with a plurality of liquid cooled mounting bases 32, individual finned heat exchangers 39 and an additional cooling device 37 to remove heat from the air inside the enclosure. This configuration requires many separate components and many individual fluid connections which are potential leaks. The transfer of heat from the air in the enclosure is not efficient, resulting in higher operating temperatures which can reduce reliability and the life of the ballasts. The physical constraints of the mounting configuration of the lamp ballast and other components within the module also make initial assembly and routine maintenance of the device relatively labour intensive.

Figure 5 illustrates the lamp module with the configuration of the new invention. The module 220 carries two columns of lamps 60 and therefore can have an enclosure approximately twice as wide as the prior art module. The preferred configuration shown in Figure 5 has a single leg 50 with a plurality of standoff extensions 51 which carry the lamps 60 at the desired spacing. The wider single leg 40 with two columns of lamp assemblies 60 has greater rigidity than a narrower leg and also is less costly than two separate legs. In addition, the pattern of the lamps allows one hydraulic cylinder to

actuate the cleaning collars of four lamps, reducing the number of hydraulic cylinders 63 and hydraulic lines and fittings (not shown). Figure 6 shows a partial end view of the lamps, wipers and hydraulic cylinder illustrating two wiper collar assemblies 62 attached by a yoke 65 which is mounted on the rod of the hydraulic cylinder 63.

5 As illustrated in Figure 7, an optional feature of this invention is the ability to have the lamp leg detachable from the module enclosure. The ballast enclosure 30 has a flange 41 and the lamp leg 50 has a flange 42. The flanges are joined by bolts 43 and nuts 44. Having separate enclosure and lamp leg assemblies allows each component to be made of different materials. For example, most applications require stainless steel for
10 all components in contact with the fluid in the channel, but other materials such as aluminum may be used for the enclosure. Also, many applications require custom lengths of lamp leg, and separating the lamp leg from the module enclosure reduces inventory and simplifies manufacturing. In addition, having the lamp leg separate from the module enclosure allows repair or replacement of the lamp leg without replacing the module
15 enclosure.

Figure 8 illustrates an alternative construction with a single ballast enclosure with two single lamp legs 501 and 502. This configuration provides the benefits of improved ballast cooling.

Figure 9 shows a view of the module enclosure in Figure 5 with the cover
20 removed. In place of the plurality of liquid cooled mounting structures illustrated in Figure 4 is a single chilled plate 70 which is cooled by liquid flowing through internal passages. The ballasts 31 are mounted directly to one face of the chilled plate 70 so that the hot mounting base of the ballast is in close proximity to the plate for improved heat transfer.

25 As shown in Figure 10, a surface of the chilled plate 70 has a plurality of fins 71. The air which is heated by passing through the ballasts is circulated around the chilled plate and past the fins by a fan 74, and heat is transferred from the air through the fins 71 to the chilled plate 70. The new orientation of the ballasts allows easier assembly and maintenance. The chilled plate 70 has only two fluid connections, inlet pipe 72 and outlet
30 pipe 73, and the probability of coolant leaks is greatly reduced. The wider enclosure reduces the total number of modules and enclosures by half, reducing cost and improving

less than or greater than four cleaning rings), preferably in multiples of 2. Still further, it is possible to modify the illustrated radiation source module such that the radiation source assemblies have a non-perpendicular cantilevered orientation with to the support leg. One skilled in the art will also appreciate that it is possible to dispose a plurality of stand-off extensions on opposite sides of the single support leg. This would allow for adjustability of the spacing between adjacent radiation sources and would be particularly advantageous (but not limited to) when adopted in a design similar to the Maarschalkerweerd #1 Patents. Still, the stand-off extensions 51 may design to be of differing lengths to allow of axial staggering of the radiation sources in a given array.

Other modifications which do not depart from the spirit and scope of the present invention will be apparent to those of skill in the art.

All publications, patents and patent applications referred to herein are incorporated by reference in their entirety to the same extent as if each individual publication, patent or patent application was specifically and individually indicated to be incorporated by reference in its entirety.

What is claimed is:

1. A radiation source module for use in a fluid treatment system, the module comprising:

a substantially elongate first support member having a longitudinal first axis; and

a first pair of radiation source assemblies extending from the first support member, each radiation source assembly comprising a radiation source;

wherein the first pair of radiation source assemblies is oriented such that a second axis extending through a center point of each radiation source assembly is disposed at an angle with respect to the first axis.

2. The radiation source module defined in claim 1, wherein the angle is about 90°.

3. The radiation source module defined in claim 1, wherein the angle is an acute angle.

4. The radiation source module defined in claim 1, wherein a plurality of pairs of radiation source assemblies extend from the first support member.

5. The radiation source module defined in claim 1, wherein the radiation source assemblies are cantilevered from the first support member.

6. The radiation source module defined in claim 1, wherein the radiation source assemblies are cantilevered from the first support member in a non-perpendicular manner.

7. The radiation source module defined in claim 1, further comprising a substantially elongate second support member spaced from the first support member, the radiation source assemblies being supported by both the first support member and the second support member.

8. The radiation source module defined in claim 1, wherein the radiation source assemblies each comprise at least one radiation source disposed with a protective sleeve.

9. The radiation source module defined in claim 8, wherein the protective sleeve comprises a quartz sleeve.

10. The radiation source module defined in claim 1, further comprising a first elongate connector between the first support member and a first radiation source assembly, and a second elongate connector between the first support member and a second radiation source assembly.

11. The radiation source module defined in claim 10, wherein the first elongate connector and the second elongate connector are in a substantially non-parallel relationship with respect to one another.

12. The radiation source module defined in claim 11, wherein the first elongate connector and the second elongate connector are of substantially the same length.

13. The radiation source module defined in claim 11, wherein the first elongate connector and the second elongate connector are of a different length.

14. The radiation source module defined in claim 1, wherein the first radiation source assembly and the second radiation source assembly are in a substantially parallel relationship with respect to one another.

15. The radiation source module defined in claim 1, further comprising a cleaning system for removing fouling materials from an exterior of the radiation source assemblies.

16. The radiation source module defined in claim 15, wherein the cleaning system comprises: (i) a cleaning ring for engagement with a portion of the exterior of the

radiation source assemblies, and (ii) motive means to translate the slidable member over the exterior of the radiation source assembly.

17. The cleaning apparatus defined in claim 16, wherein the cleaning ring comprises a chamber for surrounding a portion of the exterior of the radiation source assembly.

18. The cleaning apparatus defined in claim 17, wherein the cleaning ring further comprises an inlet for introduction of a cleaning solution to the chamber.

19. The radiation source module defined in claim 16, wherein a single motive means is provided for at least two radiation source assemblies.

20. The radiation source module defined in claim 16, wherein at least two pairs of radiation source assemblies extend from the first support member.

21. The radiation source module defined in claim 20, wherein at least two pairs of radiation source assemblies extend from the first support member, and single motive means is provide for each quartet of radiation source assemblies.

22. The radiation source module defined in claim 1, further comprising a power supply for the radiation source assemblies.

23. The radiation source module defined in claim 22, wherein the power supply is disposed in a housing attached to the first support member.

24. The radiation source module defined in claim 22, wherein the power supply is disposed in the first support member.

25. A radiation source module for use in a fluid treatment system, the module comprising:

a substantially elongate first support member having a longitudinal first axis; and

a first column of radiation source assemblies extending from the first support member, and a second column of radiation source assemblies extending from the first support member, each radiation source assembly comprising a radiation source;

the first column of radiation source assemblies and the second column of radiation source assemblies disposed adjacent one another.

26. The radiation source module defined in claim 25, wherein the first column of radiation source assemblies and the second column of radiation source assemblies are disposed in a substantially staggered relationship with respect to one another.

27. The radiation source module defined in claim 25, wherein the first column of radiation source assemblies and the second column of radiation source assemblies are disposed in a substantially non-staggered relationship with respect to one another.

28. The radiation source module defined in claim 25, wherein the radiation source assemblies are cantilevered from the first support member.

29. The radiation source module defined in claim 25, further comprising a substantially elongate second support member spaced from the first support member, the radiation source assemblies being supported by both the first support member and the second support member.

30. The radiation source module defined in claim 25, wherein the radiation source assemblies each comprise at least one radiation source disposed with a protective sleeve.

31. The radiation source module defined in claim 30, wherein the protective sleeve comprises a quartz sleeve.

32. The radiation source module defined in claim 25, further comprising a first elongate connector between the first support member and a first radiation source

assembly, and a second elongate connector between the first support member and a second radiation source assembly.

33. The radiation source module defined in claim 32, wherein the first elongate connector and the second elongate connector are in a substantially non-parallel relationship with respect to one another.

34. The radiation source module defined in claim 25, wherein the first radiation source assembly and the second radiation source assembly are in a substantially parallel relationship with respect to one another.

35. The radiation source module defined in claim 25, further comprising a cleaning system for removing fouling materials from an exterior of the radiation source assemblies.

36. The radiation source module defined in claim 35, wherein the cleaning system comprises: (i) a cleaning ring for engagement with a portion of the exterior of the radiation source assemblies, and (ii) motive means to translate the slidable member over the exterior of the radiation source assembly.

37. The cleaning apparatus defined in claim 36, wherein the cleaning ring comprises a chamber for surrounding a portion of the exterior of the radiation source assembly.

38. The cleaning apparatus defined in claim 37, wherein the cleaning ring further comprises an inlet for introduction of a cleaning solution to the chamber.

39. The radiation source module defined in claim 36, wherein a single motive means is provided for at least two radiation source assemblies.

40. The radiation source module defined in claim 36, wherein at least two pairs of radiation source assemblies extend from the first support member.

41. The radiation source module defined in claim 40, wherein at least two pairs of radiation source assemblies extend from the first support member, and single motive means is provided at least for each quartet of radiation source assemblies.

42. The radiation source module defined in claim 25, further comprising a power supply for the radiation source assemblies.

43. The radiation source module defined in claim 42, wherein the power supply is disposed in a housing attached to the first support member.

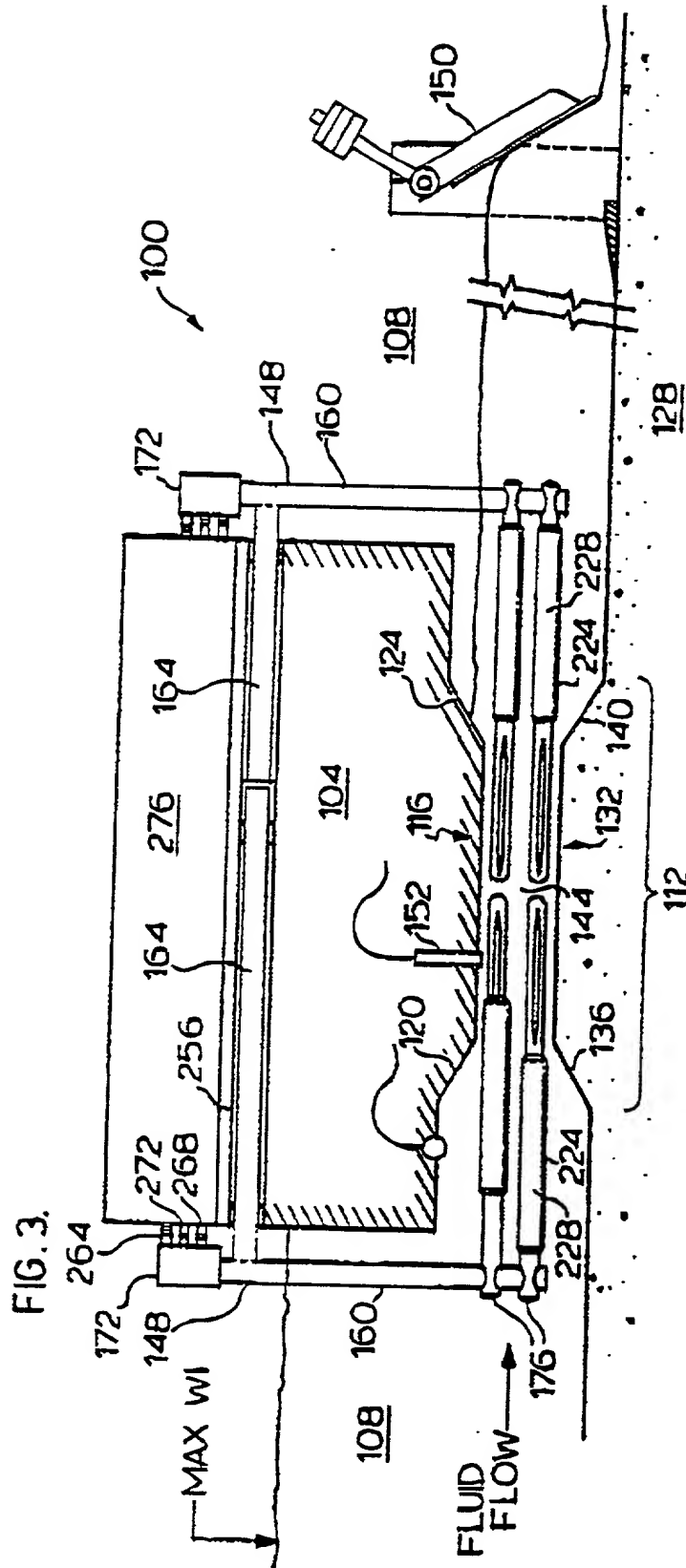
44. The radiation source module defined in claim 42, wherein the power supply is disposed in the first support member.

45. A fluid treatment device comprising at least one radiation source module as defined in claim 1.

46. A fluid treatment device comprising at least one radiation source module as defined in claim 25.

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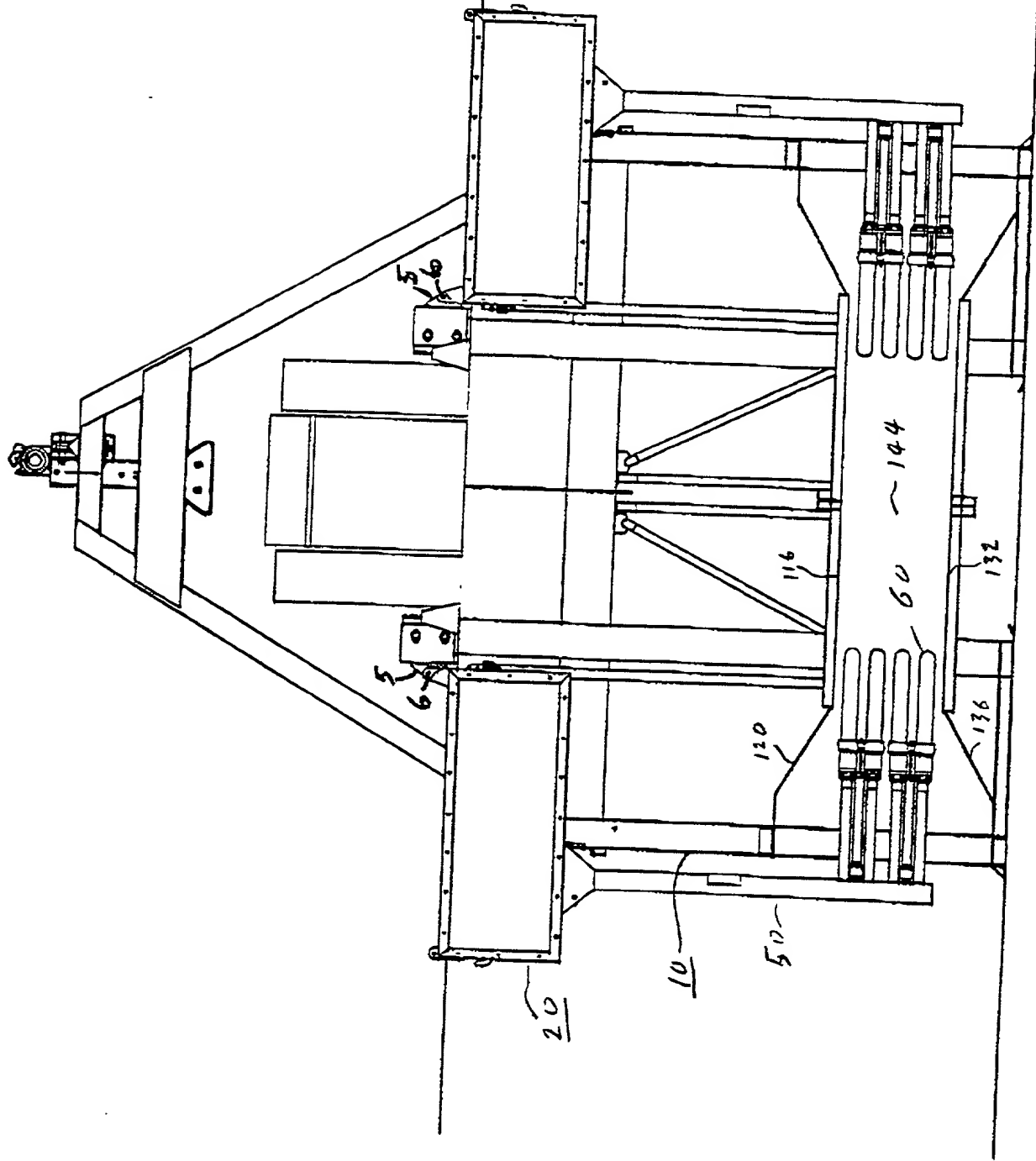


FIG 2

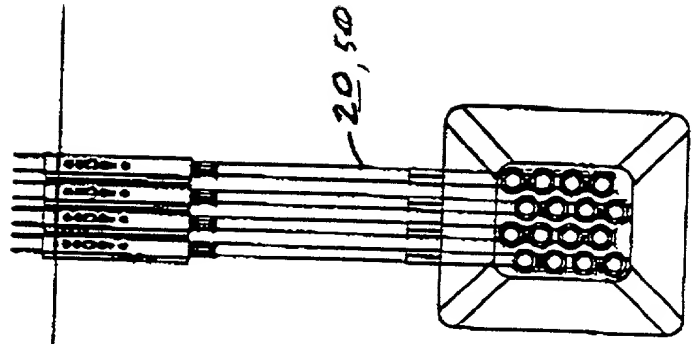
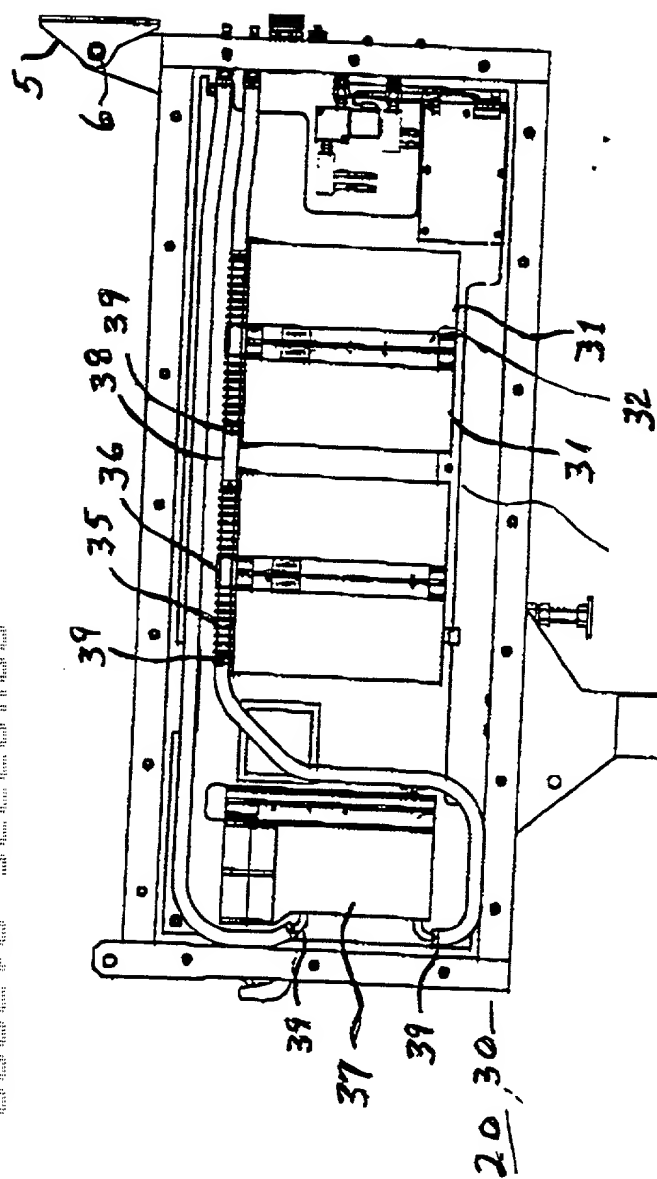
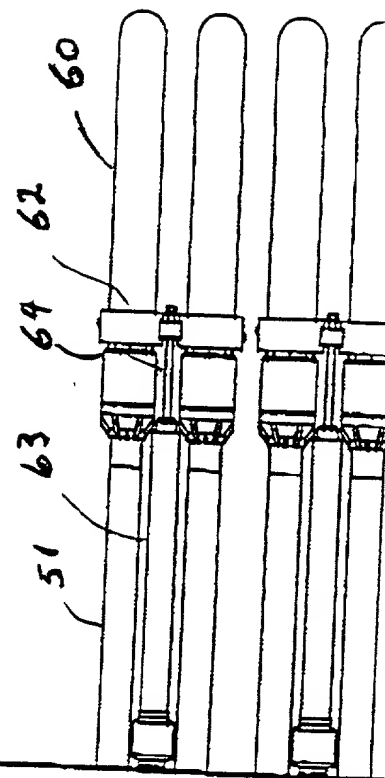
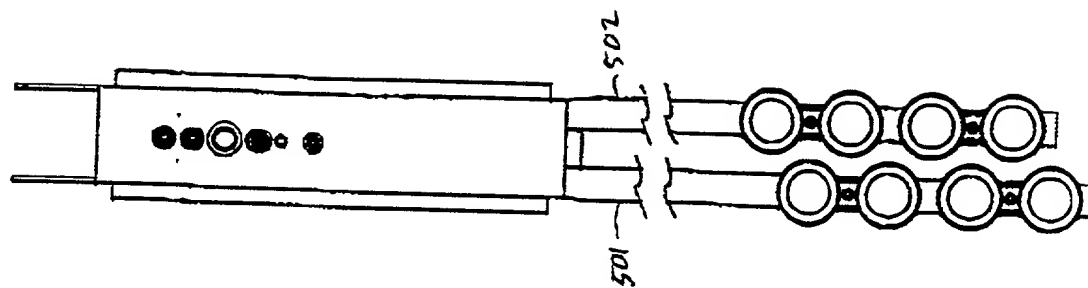
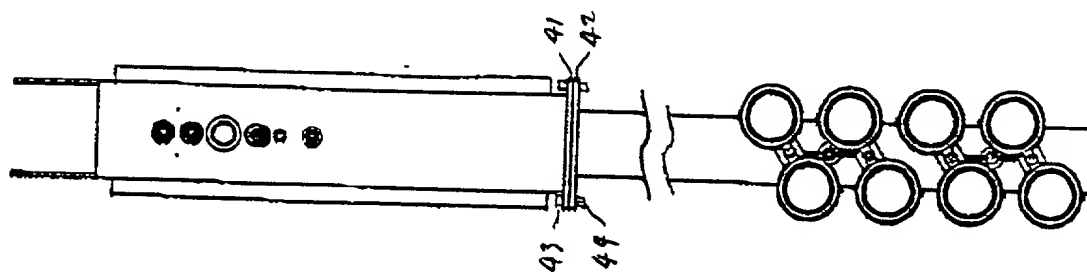
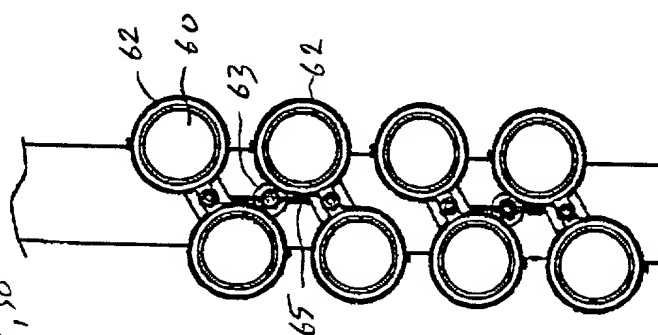
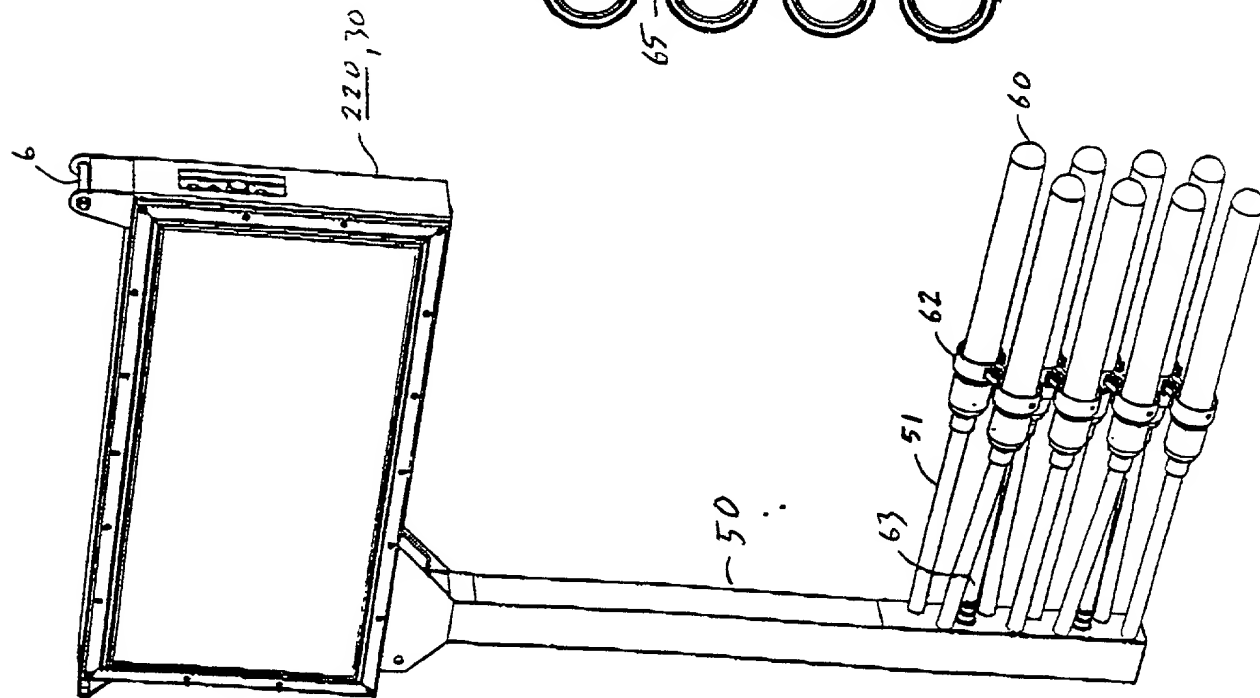


FIG 3



F164





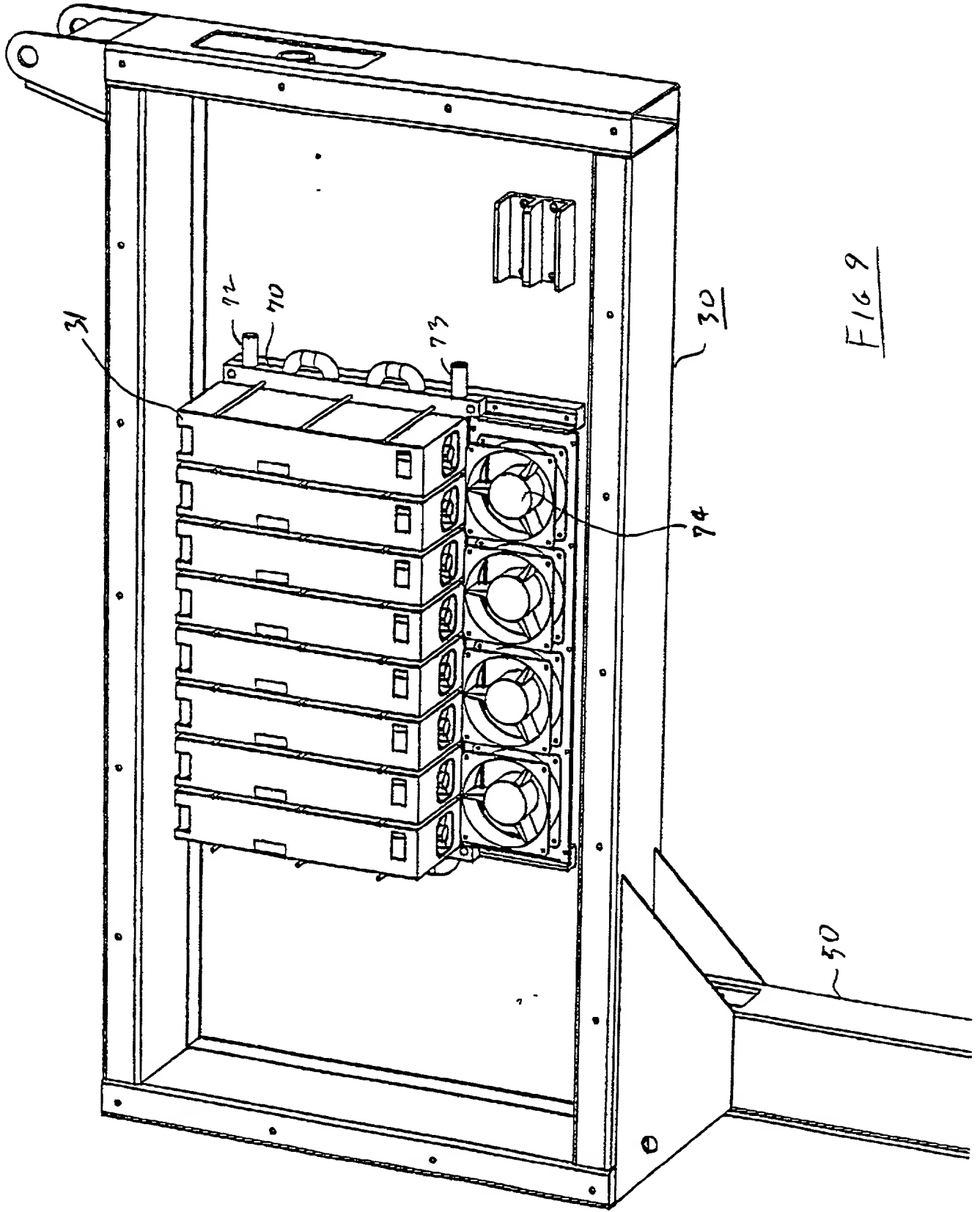


Fig 9

FIG. 10

F1610

00070-2226160

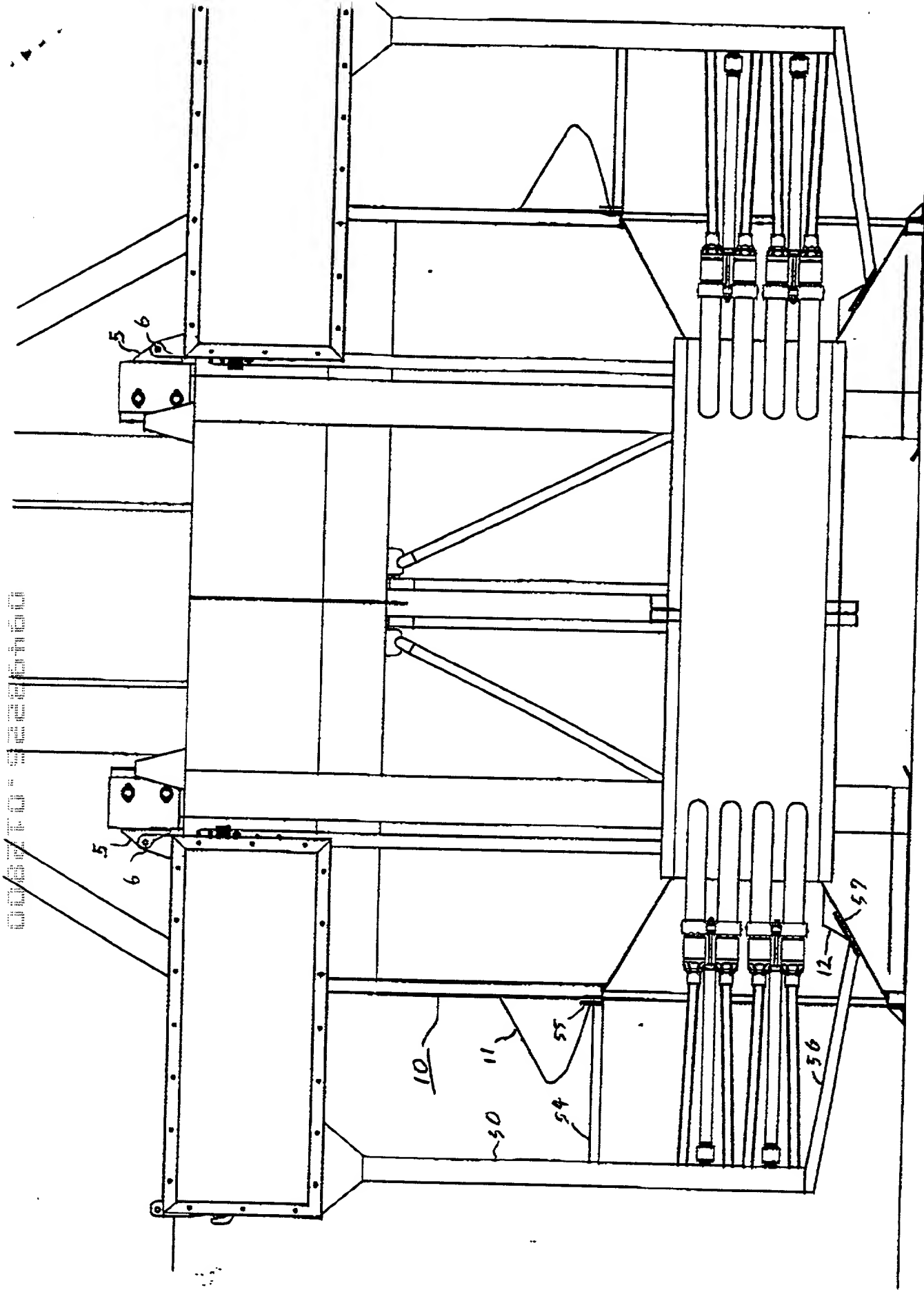


FIG 11